

Personal Extenuating Circumstances (PEC) Policy

Effective from 1 September 2022

1. Purpose

This Policy and the accompanying Procedure sets out how Personal Extenuating Circumstances (PEC) applications are managed by the University.

In order to be considered for an adjustment under the PEC Policy, your difficulties must meet all of the following criteria:

- **Significant**
The circumstances must have had an adverse impact on your studies
- **Unforeseen**
You must normally have had no prior knowledge that the circumstances would occur
- **Unavoidable**
The circumstances must be outside of your control and there must have been no reasonable steps you could have taken to prevent them from occurring
- **Relevant**
You must be able to link the adverse effects of the circumstances to the assessments for which you are seeking an adjustment
- **Documented**
Aside from self-certification PECs, you should normally be able to provide documentary evidence of your difficult personal circumstances.

Your School will consider the PEC and decide whether an adjustment should be made to your assessment(s) to mitigate against your individual circumstances.

2. Scope

This policy applies to:

- All students on taught programmes of study, including taught elements of research degrees, regardless of location of study;
- All PEC requests for additional adjustment consideration submitted during the 2022/23 academic year or later.

This policy **should not** be used by:

- Research students. If you are a research student, you are advised to consult your supervisory team or relevant Graduate School in the first instance. Information regarding possible adjustments to research degree programmes can be found on the [PGR Change of Circumstances webpage](#);
- Students wishing to challenge the academic judgement of examiners or the outcome of any assessment. Challenges to academic judgement are not permitted under any University procedure;

- Groups of students wishing to seek adjustments. PEC applications are individual in nature, therefore requests for adjustments by groups of students are not permitted under the PEC process.

3. Policy

3.1. Grounds

A PEC may be submitted if you are experiencing any significant personal and extenuating circumstances that are having an adverse impact on your ability to study or undertake assessments.

You should not have already been granted any other adjustment to mitigate against your particular circumstance.

The circumstances reported in any PEC should generally be unavoidable and unforeseen and may include:

- Bereavements;
- Jury service;
- New periods of ill health, or a worsening of an ongoing illness of disability;
- Disruption to teaching which has meant you have not received the necessary support for your assessments;
- Any other significant personal difficulties (experienced by you or your dependent/s) that are outside of your control and which may impact on your ability to study or complete assessments.

Your PEC requests should normally be submitted **prior** to the submission or assessment deadline or by the Academic Unit/School's published deadline for considering your programme of study's PEC Committee (PECC) or Board of Examiners (BoE). Requests for adjustments made retrospectively will only be considered where there is a credible and compelling reason for the delay.

Where your PEC relates to a remote timed assessment (for example, a 24/48 hour take-away paper), a submission of up to 30 minutes late is permitted **without penalty or the requirement to submit a PEC**, due to the challenge of remote assessment/IT difficulties.

PECs are **not** normally accepted for:

- Instances where appropriate adjustments have already been made (for example if you have already had an extension or if you have Student Support Plan and are already receiving adjustments for the medical condition or disability you are citing in your PEC);
- Minor illnesses such as coughs, colds, headaches or hayfever (unless as a self-certification or supported by specific medical evidence);
- Problems with printing;

- Issues with online timed assessments (such as 24/48 hour takeaway papers) which result in you submitting your assessment up to 30 minutes late. In these instances, an extension of up to 30 minutes will be granted without the need to submit a PEC;
- Circumstances which could have been avoided, for example through more effective time management or creating data backups;
- Holidays, house moves, sporting or social commitments;
- Transport problems (except where you can demonstrate that adequate time was allowed for travel);
- Unspecified anxiety or examination stress (unless as a self-certification);
- Known employment or financial responsibilities (unless you are a part-time student where employment is your primary activity);
- Distress relating to a family pet (unless as a self-certification);
- Retrospective reporting of extenuating circumstances, without appropriate reason for the delay.

3.2. Evidence

Any PEC request should include details of the difficult personal circumstances you are experiencing. You should explain precisely how you believe you have been adversely affected by your circumstances and the specific assessment(s) that may have been impacted.

Where appropriate, your PEC application should be supported by documentary evidence (except where submitting a self-certification PEC, as outlined in 4.3 below). Documentary evidence will be specific to the reported circumstances and relevant to the PEC submission, but may include medical notes or reports from your personal tutor. Where independent documentary evidence is not available or appropriate (for example, in cases of bereavement), an accompanying personal statement is both possible and acceptable.

3.3. Adjustments

Possible adjustments are listed within the University Regulations, and are:

- Extensions within and beyond those permitted as part of the Submission of Work policy;
- Exemptions from minor elements of assessed work (normally a maximum of 20% of the module in question);
- Deferral of a formal examination or assessment attempt to the next normal occasion (generally August);
- Deferral of a formal examination or assessment attempt to a later normal occasion;
- Extraordinary examinations outside of the regular assessment periods;
- Permission to repeat tuition in residence;
- Setting aside previous attempt(s) of a module, Stage or Semester and allowing repeat tuition if required;
- Allowing a student to proceed to the next Stage of a programme carrying fails;
- The PEC Committee can provide Boards of Examiners with a recommendation of support/no-support depending on the timing and severity of the mitigating

circumstances affecting a student, which have not been addressed by any of the above adjustments.

3.4. Self-certification

You may submit a self-certification PEC on up to two occasions per Semester, up to a maximum of four per academic year. Each submitted PEC is counted as one request, although if you have similar submission deadlines, one request may be used to cover multiple deadlines, as long as the PEC relates to the same extenuating circumstances or period of illness.

Self-certification PECs are intended for minor illnesses or circumstances and you do not need to provide accompanying evidence.

The only adjustment that can result from a self-certification PEC is a short extension (of up to 7 calendar days) to an assessment deadline. Self-certification PECs cannot be used for adjustment requests to examinations, repeat attempts, deferrals or extraordinary assessments. If you require any additional adjustments after the initial 7 day extension, you will need to submit a full PEC request with accompanying documentation and your request will be considered by your Academic Unit.

3.5. Confidentiality and data sharing

Through the implementation of the PEC Policy and Procedure, the University recognises that it will receive personal and sensitive data. The University will process and share such data with relevant University employees for the legitimate business purposes of processing your PEC application, in line with the General Data Protection Regulations (GDPR).

Information you provide within your PEC and accompanying documentation will be treated as confidential and details will be disclosed only to parties if it is necessary to do so in order to process your application. However, if the circumstances you report are particularly sensitive, you may wish to submit accompanying evidence directly to the PEC Committee, rather than via your School. In such cases, you should submit your PEC form as normal via S3P and indicate on your form that your case is highly confidential. You should then submit an outline of the confidential circumstances, together with relevant documentary evidence, to your School office in a sealed envelope marked **'Strictly confidential – PEC evidence for the attention of the PEC Committee only.'**

You should avoid providing details relating to another person's personal data in your submission unless you are able to provide their consent to share their data alongside your PEC request.

In some circumstances, it may be necessary for the University to use personal data submitted under the PEC procedure in order to consider whether it is appropriate to invoke other University procedures, such as the Support to Study Procedure. The University may access this information without your explicit consent in situations where a legitimate concern has been raised about your wellbeing and it is deemed necessary in order to assess the risk to you or to others.

If the circumstances you report within your PEC request indicate that there is a clear and present danger to you or someone else, colleagues in the Student Health and Wellbeing Service will be consulted and appropriate safeguarding measures may be taken.

Where disclosure of your circumstances is required by law, you will be notified of this disclosure in advance, wherever possible.

3.6. Appeals

You have the right to appeal any decision made by the PEC Committee via the [Academic Queries and Appeals Procedure](#).

To submit a Level 1 Academic Query, you should write to the Chair of the PEC Committee, via your School, **within 14 days** of you being notified of the decision against which you wish to appeal. You should clearly outline your reasons for appeal.

If you remain dissatisfied with the outcome of your Level 1 Academic Query, you may submit a formal Academic Appeal to casework@ncl.ac.uk **within 21 days** of your Level 1 outcome or after the Board of Examiners has considered your overall profile of marks and made a progress or award decision.

3.7. Relevant documents

[PEC Submission Guidance FAQs](#)

This guidance document provides answers to frequently asked questions regarding the PEC process.

[Video guide to PEC submission](#)

Step-by-step instructions of how to submit a PEC via S3P [links to external website].

[Support to Study Procedure](#)

If you have submitted multiple PECs, your School may refer your circumstances to be considered under the Support to Study Procedure. This is particularly likely if your PECs relate to similar concerns. The Support to Study Procedure can provide additional support and adjustments without the need to submit multiple PECs.

[Academic Queries and Appeals Procedure](#)

Appeals against PEC Committee decisions should be submitted via the Academic Queries and Appeals procedure. If you wish to be considered for a retrospective adjustment as a result of personal extenuating circumstances, this can also be requested via the Academic Queries and Appeals procedure.

[Policy on Assessment and Feedback](#)

University policies and procedures around assessments, marking and feedback.