PART FOUR - ACADEMIC MATTERS: REGULATIONS, POLICIES AND PROCEDURES

The University has a range of regulations, policies and procedures, which exist for the purpose of protecting and supporting the highest standards within the University and PGR students are encouraged to be familiar with their existence. These are subject to annual review and the complete versions are always available from the University’s website, but key points of relevant policies and/or procedures are highlighted below.

Postgraduate Research Regulations
The University has Postgraduate Research regulations covering both the candidature and the examination of your programme, which are reviewed on an annual basis. You should ensure that you familiarize yourself with these regulations as they provide the overarching rules for your studies and examination at Newcastle University. The regulations should be read in conjunction with the Code of Practice for Research Degree Programmes (Section Two of this handbook). If your programme contains taught elements, you should also familiarise yourself with any programme specific regulations.

If you have any queries regarding the regulations, you should contact your supervisor(s), or the relevant Graduate School Administrator. The regulations are available at: http://www.ncl.ac.uk/regulations/docs/

Student Procedures
Student policies and procedures, which are applicable to all students are available at: http://www.ncl.ac.uk/students/progress/Regulations/

In particular, you should be aware of:
- Student Charter
- Academic Query and Appeals Procedure
- Student Complaint and Resolution Procedure
- Living in the Community
- Maternity Policy
- Standards of Conduct (including Fitness to Study Procedure and Student Disciplinary Procedure)

University Handbook for Examiners of Research Degrees by Theses
The University is responsible for the quality and standards of postgraduate research awards made in its name. The function of examiners is to assist the University to discharge that responsibility by ensuring that the standards of postgraduate research awards at Newcastle are at least comparable to those in similar subjects in other Universities in the UK. The University expects that examiners will be rigorous and fair and that they will follow good practice. By undertaking their duties in this way, examiners not only maintain standards at Newcastle but, of course, also act as effective gatekeepers for the research community of
which they are a part by ensuring candidates meet the academic criteria for membership. The Handbook covers Doctoral and Master of Philosophy research degrees and focuses on the examination of the thesis. Additional guidance is also provided in the appendices at the end of the Handbook for the examination of Integrated PhD programmes, Professional and Practice-based Doctorates.

The Handbook for Examiners of Research Degrees by Theses is available at: https://www.ncl.ac.uk/students/progress/student-resources/PGR/Publications.htm

Further information on the Research Degree Examination procedure and forms is available at: https://www.ncl.ac.uk/students/progress/student-resources/PGR/keyactivities/Examination.htm

Standards of Academic Conduct

The University requires all students to maintain high standards of academic conduct and, in particular, to avoid conduct amounting to cheating in examinations, the fabrication of research results or plagiarism.

Cheating in examinations includes: copying from or conferring with other candidates; the possession or use of unauthorized material or equipment; and the impersonation of an examination candidate. Candidates who knowingly permit themselves to be impersonated, or their work to be copied, will be regarded as cheating. Any student suspected of having cheated in examinations will be dealt with under the University's Assessment Irregularities Procedure and may also be subject to disciplinary action as determined by the Academic Registrar in accordance with the University's Disciplinary Procedures approved by Council.

The fabrication of research results includes: claims, which cannot reasonably be justified, to have obtained specific or general results; false claims in relation to experiments, interviews, procedures or any other research activity; and the omission of statements in relation to data, results, experiments, interviews or procedures, where such omission cannot reasonably be justified. Any student who is suspected of having fabricated research results in relation to submitted and assessed work which contributes to an examination or degree result, will be dealt with under the University's Assessment Irregularities Procedure and may also be subject to disciplinary action as determined by the Academic Registrar in accordance with the University's Disciplinary Procedures. Further information is available at: https://www.ncl.ac.uk/students/progress/Regulations/Procedures/assessment.htm

Plagiarism is the unacknowledged use of another person's ideas, words or work. At one extreme, plagiarism is simply a form of cheating, such as where the whole or a significant part of work submitted towards an examination or degree is the unacknowledged work of another, copied slavishly from a book or research paper. At the other extreme, plagiarism may occur accidentally, through poor standards of scholar-ship, or may concern insignificant parts of submitted work. Plagiarism may involve the use of material downloaded from electronic sources such as the Internet.

Further guidance is provided in Part 3 of this handbook in the ‘Guidelines for Research Students and Supervisors’ section.
Code of Good Practice in Research
The University expects all its staff and students to adhere to the highest standards of integrity in research. This statement addresses the issues involved in the proper conduct of research and provides guidance on the standards expected. It applies to all Researchers (defined here as all staff, honorary staff, students and visiting workers undertaking research within or on behalf of the University). Student research misconduct will be dealt with via the student disciplinary procedures, and staff research misconduct via the Policy and Procedure for Investigating Allegations of Research Misconduct.

Within this overarching framework there may be specific discipline requirements in areas such as ethics, clinical governance, data protection, legal requirements, Home Office and other government requirements, in addition to health and safety and other good laboratory practice requirements. Some disciplines may also be subject to specific good practice requirements of external funding agencies or professional bodies. The full code is available at: https://www.ncl.ac.uk/research/researchgovernance/goodpractice/

The University has signed up to the Concordat to Support the Career Development of Researchers (http://www.ncl.ac.uk/hr/concordat/index.php) which governs working practices, roles and responsibilities of research staff.

Dignity and Respect Policy
Introduction
The University aims to promote a culture where all of the University community can play their full part in creating a positive, safe and respectful working environment for everyone. It is committed to excellence, valuing diversity and investing in its staff and students.

The purpose of this policy is to ensure that all staff, students and visitors to the University are treated as being of equal worth to the organisation regardless of background, age, disability, ethnicity, gender, gender identity, religion or belief, sex, sexual orientation, position or status. In order to create an environment where these values can flourish and people can realise their full potential, there is an expectation that all of its employees, students and visitors are treated with dignity, respect and consideration at all times.

Equality Strategy

Purpose
The Equality Strategy is our public declaration of our commitment to develop a fully inclusive University community which recruits and retains talented staff and students from all sectors of society equally. It also sets out how we, at Newcastle University, plan to meet the duties placed on us by equality and diversity legislation and to follow best practice in all that we do: including employing our staff, providing teaching and learning to our students and being engaged with local communities.

Context
Our Vision is of Newcastle as a Civic University with a global reputation for academic excellence. Our Mission is: to be a world-class research-intensive university; to deliver teaching and facilitate learning of the highest quality and to play a leading role in the economic, social and cultural development of the North East of England.

There are certain fundamental values shared throughout the higher education sector to which we are committed, including: academic freedom; the pursuit of knowledge and understanding; a sound academic disciplinary base; a methodology based on reason and evidence; social responsibility; and transparency. In addition, we are committed to excellence; value diversity; respond to societal challenges; accord parity of esteem to research and teaching; educate for life; are globally ambitious and regionally rooted and invest in excellent staff.

Arising from the three elements of the mission statement, we have three core academic functions: research and innovation; learning, teaching and the wider student experience; and engagement and internationalisation. As a university, our institutional objectives are: to achieve: top 20 in the UK for research; top 20 in the UK for student satisfaction; a focus on three societal challenge themes (ageing, social renewal and sustainability); a significant international, national and regional profile and reputation; and financial and environmental sustainability.


Policy and Procedure on Public Interest Disclosure
The University is committed to the highest standards of openness, probity and accountability. It seeks to conduct its affairs in a responsible manner taking into account the requirements of the funding bodies, the standards in public life set out in the reports of the Nolan Committee, and the principles of academic freedom embodied in its Statutes.

The Public Interest Disclosure Act, which came into effect on 1 January 1999, gives legal protection to workers against being dismissed or penalized by their employers as a result of disclosing in the public interest certain serious concerns. It is a fundamental term of every contract of employment that an employee will faithfully serve his or her employer and not disclose confidential information about the employer's affairs. However, an individual within the organization should have the right to disclose certain matters of public interest without
fear of reprisal. The Enterprise and Regulatory Reform Act 2013 confirmed that the legal framework that gives protection to workers who raise public interest disclosures is intended to apply only to disclosures that are made in the broader public interest, as opposed to issues in which an individual may have a personal interest.

This policy and procedure is intended to guide and assist workers and students who wish to make a disclosure, in the public interest, about what they believe to be malpractice or impropriety in order to assist the University in the maintenance of appropriate standards of propriety and good practice. Workers and students are expected to use this policy and procedure in the first instance rather than report their concerns to a third party outside the Institution.

The full policy is available at: https://newcastle.sharepoint.com/hub/people-services/Documents/Policy%20and%20Procedure/PolicyandProcedureonPublicInterestDisclosure.pdf#search=public%20interest%20disclosure

**Policy for Intellectual Property and Research Studentships**

Where supervisors believe that a project involving a student or an academic visitor is likely to generate potentially commercialisable IP, they should note carefully the position outlined below.

Intellectual Property generated by members of staff in the University is automatically vested in the University, provided that it relates to work that the member of staff would normally be expected to carry out as part of their day-to-day activities. However, undergraduate and research postgraduate students and academic visitors are not members of staff, and there may be considerable variation in the nature and source of their funding. This note explains the IPR position of different types of studentships. It details where positive action is taken by University Research Office (URO) to protect IP and identifies where schools need themselves to take specific action.

Where a student or a visitor is joining a large research effort with considerable and possibly highly commercialisable IP, supervisors must ensure that the IP position is reviewed immediately with the student, that the student is aware of the position with regard to IP, that he or she understands the problems that will arise for the University should the IP associated with a project be disclosed prematurely, and that the IP generated in the course of the grant is properly vested in the University in exchange for an undertaking to treat the student as if he or she were a member of academic staff with regard to intellectual property. A corollary of this is that where the student has clearly been responsible for 'inventive' steps in the prosecution of his or her research, and that research has led to a patent being filed by the University, the student's name shall appear on the patent.

The Confidentiality and Intellectual Property Policy Statement for Research Students, is available at: https://www.ncl.ac.uk/media/wwwnclacuk/research/files/Confidentiality%20and%20IP.pdf

Further general guidance about Intellectual Property is available from the University Research Office:
Copyright
The University holds a number of licences which permit staff and students to reuse copyrighted material for the purposes of teaching, learning and assessment.

It is important to be aware that the copies must be made from either:

- An original of the book, journal or magazine owned by the HEI or
- A copyright fee paid copy of a chapter / article supplied by an organisation holding a document delivery licence with CLA (e.g. British Library)

Further information is available from: https://libguides.ncl.ac.uk/copyright/licenses

What the Licence does not cover
The following Excluded Material is outside the scope of this Licence Agreement:

- printed music (including the words)
- maps, charts, or books of tables
- newspapers
- workbooks, workcards and assignments
- works expressly excluded by the copyright owners

Further information is available from: https://www.cla.co.uk/excluded/he-print

Policy on Postgraduates Who Teach
This policy covers teaching and learning practices for postgraduates teaching or demonstrating on modules. Appointment practices, employment terms and conditions are covered in more detail by separate Human Resources policies. This policy does not cover arrangements for hourly paid bought in teaching.

The University recognises the value to postgraduates of the teaching experience it provides, and is committed to providing such opportunities consistent with its desire to deliver teaching of the highest quality on its programmes.

Postgraduates may support teaching by:

- Taking small groups such as seminars, tutorials or workshops
- Helping with fieldwork
- Demonstrating in laboratories
- Providing occasional lectures on their own specialism
- Assessment under the conditions indicated in this document.

Further information can be found in the Policy on Postgraduates Who Teach at: https://www.ncl.ac.uk/students/progress/student-resources/PGR/Policy%20on%20Postgraduates%20Who%20Teach%20July%202019.pdf